

11-22-04

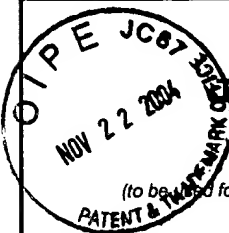
2176/4

PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

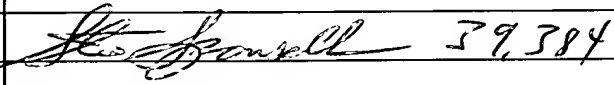
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

 <p><b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)</p>	Application Number	09/599,813	
	Filing Date	6/21/2000	
	First Named Inventor	Adriana Ardeleanu	
	Group Art Unit	2176	
	Examiner Name	QUOC A TRAN	
Total Number of Pages in This Submission		Attorney Docket Number	MS1-583US

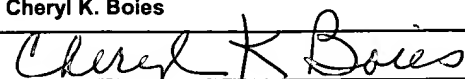
**ENCLOSURES (check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents  <input type="checkbox"/> Response to Missing Parts/Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group ( <i>Appeal Notice, Brief, Reply Brief</i> ) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) ( <i>please identify below:</i> Form PTO-1449; reference copies (97); return receipt postcard
Remarks		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual Name	Lance R. Sadler/Reg. No. 38605
Signature	
Date	November 19, 2004

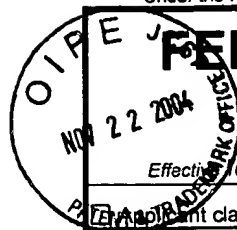
**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.	
Typed or printed name	Cheryl K. Boies
Signature	
Date	11-19-2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

Patent claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$180.00)

## Complete if Known

Application Number 09/599,813  
 Filing Date 6/21/2000  
 First Named Inventor Adriana Ardeleanu  
 Examiner Name QUOC A TRAN  
 Art Unit 2176  
 Attorney Docket No. MS1-583US

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money ☐ Other ☐ None  
 Order
☒ Deposit Account:

Deposit Account Number 12-0769  
 Deposit Account Name Lee & Hayes, PLLC

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application.☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	790	2001	395	Utility filing fee	
1002	350	2002	175	Design filing fee	
1003	550	2003	275	Plant filing fee	
1004	790	2004	395	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

## SUBTOTAL (1)

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims	45	-20 =	x 18 =
Indep. Claims	7	-3 =	x 88 =
Multiple Dependent			=

Large Fee Code	Entity Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	88	2201	44	Independent claims in excess of 3
1203	300	2203	150	Multiple dependent claim, if not paid
1204	88	2204	44	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

## SUBTOTAL (2) \$

\*\*or number previously paid, if greater; For Reissues, see above.

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code	Entity Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examination action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	430	2252	215	Extension for reply within second month	
1253	980	2253	490	Extension for reply within third month	
1254	1,530	2254	765	Extension for reply within fourth month	
1255	2,080	2255	1,040	Extension for reply within fifth month	
1401	340	2401	170	Notice of Appeal	
1402	340	2402	170	Filing a brief in support of an appeal	
1403	300	2403	150	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,370	2501	685	Utility issue fee (or reissue)	
1502	490	2502	245	Design issue fee	
1503	660	2503	330	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	2809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application.	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

## SUBTOTAL (3) (\$)

180.00

## SUBMITTED BY

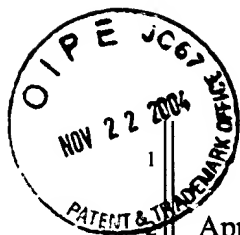
## Complete (if applicable)

Name (Print/Type)	Lance R. Sadler	Registration No. (Attorney/Agent)	38605	Telephone	509-324-9256
Signature	[Signature]		Date	11/19/2004	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. ....09/599,813  
Filing Date .....6/21/2000  
Confirmation No. ....7153  
Inventorship .....Adriana Ardeleanu  
Applicant .....Microsoft Corporation  
Group Art Unit .....2176  
Examiner ..... QUOC A TRAN  
Attorney's Docket No. .... MS1-583US  
Title: Authoring Arbitrary XML Documents using DHTML and XSLT

**INFORMATION DISCLOSURE STATEMENT**

**References – See Attached Form PTO-1449**

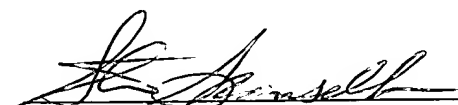
To: Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

From: Lance R. Sadler (Tel. 509-324-9256; Fax 509-323-8979)  
Lee & Hayes, PLLC  
421 W. Riverside Avenue, Suite 500  
Spokane, WA 99201

The attached form PTO-1449 is submitted in compliance with Applicant's duty of disclosure under 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit Account No. 12-0769 as set forth in 37 CFR §1.17(p).

Dated: 11-19-04

By:  39,324  
Lance R. Sadler  
Reg. No. 38605



+

Substitute for form 1449A/PTO

*(use as many sheets as necessary)*

Sheet

2

of

7

**Complete if Known**

Application Number	09/599,813
--------------------	------------

Filing Date	Jun 21, 2000
-------------	--------------

First Named Inventor	Ardeleanu
----------------------	-----------

Group Art Unit	2176
----------------	------

Examiner Name	TRAN, QUOC A
---------------	--------------

Attorney Docket Number	MS1-583US
------------------------	-----------

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		5339424		Fushim	08/16/1994	
		5341478		Travis Jr. et al.	08/23/1994	
		5369766		Nakano et al.	11/29/1994	
		5369778		San Soucie et al.	11/29/1994	
		5377323		Vasudevan	12/27/1994	
		5381547		Flug et al.	01/10/1995	
		5408665		Fitzgerald	04/18/1995	
		5410688		Williams et al.	04/25/1995	
		5434975		Allen	07/18/1995	
		5440744		Jacobson et al.	08/08/1995	
		5446842		Schaeffer et al.	08/29/1995	
		5459865		Heninger et al.	10/17/1995	
		5481722		Skinner	01/02/1996	
		5504898		Klein	04/02/1996	
		5517655		Collins et al.	05/14/1996	
		5535389		Elder et al.	07/09/1996	
		5542070		LeBlanc et al.	07/30/1996	
		5550976		Henderson et al.	08/27/1996	
		5551035		Arnold et al.	08/27/1996	
		5572643		Judson	11/05/1996	

[illegible]

Date \_\_\_\_\_

**Considered**

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

+

+



Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/599,813
				Filing Date	Jun 21, 2000
				First Named Inventor	Ardeleanu
				Group Art Unit	2176
				Examiner Name	TRAN, QUOC A
Sheet	3	of	7	Attorney Docket Number	MS1-583US

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code2 (if known)			
		5577252		Nelson et al.	11/19/1996	
		5581686		Koppolu et al.	12/03/1996	
		5581760		Atkinson et al.	12/03/1996	
		5608720		Biegel et al.	03/04/1997	
		5634121		Tracz et al.	05/27/1997	
		5640544		Onodera et al.	06/17/1997	
		5659729		Nielsen	08/19/1997	
		5664178		Sinofsky	09/02/1997	
		5682536		Atkinson et al	10/28/1997	
		5689703		Atkinson et al.	11/18/1997	
		5706501		Horikiri et al.	01/06/1998	
		5740439		Atkinson et al.	04/14/1998	
		5745683		Lee et al.	04/28/1998	
		5758184		Lucovsky et al.	05/26/1998	
		5758358		Ebbo	05/26/1998	
		5761683		Logan et al.	06/02/1998	
		5764984		Loucks	06/09/1998	
		5778372		Cordell et al.	07/07/1998	
		5784555		Stone	07/21/1998	
		5802304		Stone	09/01/1998	

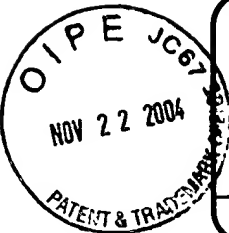
[illegible]

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

+

+



Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/599,813
				Filing Date	Jun 21, 2000
				First Named Inventor	Ardeleanu
				Group Art Unit	2176
				Examiner Name	TRAN, QUOC A
				Attorney Docket Number	MS1-583US
Sheet	4	of	7		

U.S. PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		5815830		Anthony	09/29/1998	
		5838906		Doyle et al.	11/17/1998	
		5842018		Atkinson et al.	11/24/1998	
		5854630		Nielsen	12/29/1998	
		5963964		Nielsen	10/05/1999	
		5987480		Donohue et al.	11/16/1999	
		6016520		Facq et al.	01/18/2000	
		6026379		Haller et al.	02/15/2000	
		6026416		Kanerva et al.	02/15/2000	
		6044205		Reed et al.	03/28/2000	
		6072870		Nguyen et al.	06/06/2000	
		6078326		Kilmer et al.	06/20/2000	
		6098081		Heidorn et al.	08/01/2000	
		6108637		Blumenau	08/22/2000	
		6163772		Kramer et al.	12/19/2000	
		6235027		Herzon	05/22/2001	
		6678717		Schneider	01/2004	
		6421070		Ramos et al.	07/16/2002	
		6476833		Moshfeghi	11/05/2002	
		6493702		Adar et al	12/10/2002	

[illegible]

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

+







Please type a plus sign (+) inside this box → +

+

Substitute for form 1449B/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <small>(use as many sheets as necessary)</small>		<b>Complete if Known</b>			
Sheet	6	of	7	Application Number	09/599,813
				Filing Date	Jun 21, 2000
				First Named Inventor	Ardeleanu
				Group Art Unit	2176
				Examiner Name	TRAN, QUOC A
				Attorney Docket Number	MS1-583US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		"Store and Organize Related Project Files in a Binder," Getting Results with Microsoft Office, 1990, pp. 109-112.	
		Barker et al., "Creating In-Line Objects Within An Integrated Editing Environment," IBM Technical Disclosure Bulletin Vol. 27, No. 5, October 1984, page 2962.	
		Berg A., "Naming and Binding: Monikers," Inside Ole, 1995, Chapter 9, pp. 431-490.	
		Clapp D., "The NeXT Application Kit Part I: Non-Responsive Classes," The NeXT Bible, 1990, Chapter 16, pages 275-293.	
		DiLascia et al., "Sweeper," Microsoft Interactive Developer Vol. 1, No. 1, 1996, 27 pages.	
		Herzner et al., "CDAM- Compound Document Access and Management. An Object-Oriented Approach," Multimedia Systems Interaction and Applications, 1992, Chapter 3, pp 17-36.	
		Kobayashi et al., "An Update on BTRON-specification OS Development," IEEE 1991, pp 132-140.	
		Peterson B., "Unix Variants," Unix Review Vol. 10, No. 4, April 1992 pages 29-31.	
		Pike et al., "Plan 9 from Bell Labs," UKUUG, Summer 1990, 10 pages.	
		Staneck, W., "Internal and External Media," Electronic Publishing Unleashed, 1995, Chapter 22, pages 510-542.	
		Whitehill, "Whitehill Composer" Whitehill Technologies Inc., 2 pages.	

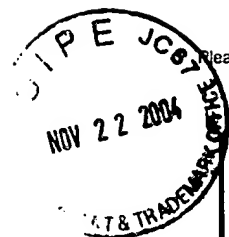
Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

+



Please type a plus sign (+) inside this box → +

+

<div style="display: flex; justify-content: space-between;"><div><p>Substitute for form 1449B/PTO</p><h2 style="margin: 0;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2><p style="margin: 5px 0;">(use as many sheets as necessary)</p></div><div style="border: 1px solid black; padding: 2px; text-align: center;"><b>Complete if Known</b></div></div> <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 5px;"><tr><td style="width: 60%;">Application Number</td><td>09/599,813</td></tr><tr><td>Filing Date</td><td>Jun 21, 2000</td></tr><tr><td>First Named Inventor</td><td>Ardeleanu</td></tr><tr><td>Group Art Unit</td><td>2176</td></tr><tr><td>Examiner Name</td><td>TRAN, QUOC A</td></tr><tr><td>Attorney Docket Number</td><td>MS1-583US</td></tr></table>		Application Number	09/599,813	Filing Date	Jun 21, 2000	First Named Inventor	Ardeleanu	Group Art Unit	2176	Examiner Name	TRAN, QUOC A	Attorney Docket Number	MS1-583US	Sheet <u>7</u> of <u>7</u>
Application Number	09/599,813													
Filing Date	Jun 21, 2000													
First Named Inventor	Ardeleanu													
Group Art Unit	2176													
Examiner Name	TRAN, QUOC A													
Attorney Docket Number	MS1-583US													

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Pike et al., "The Use of Name Spaces in Plan 9," Operating Systems Review, Vol. 27, No. 2, April 1993, pp. 72-76.	
		Trupin J., "The Visual Programmer," Microsoft Systems Journal, April 1996, pages 103-105.	
		Zdonik, S., "Object Management System Concepts," ACM 1984, pp. 13-19.	

Examiner Signature	Date Considered
--------------------	-----------------

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**

+